

PTO/SB/01 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

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DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)

☐ Declaration submitted with Initial Filing
OR
☒ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number 555255012652

First Named Inventor Wen-Yen Chan

COMPLETE IF KNOWN

Application Number 10 / 724,951

Filing Date 12/01/2003

Group Art Unit 2631

Examiner Name

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHOD AND APPARATUS FOR OPTIMIZING TRANSMITTER POWER EFFICIENCY

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) 12/01/2003 as United States Application Number or PCT International

Application Number 10/724,951 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

(Page 1 of 2)

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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DECLARATION — Utility or Design Patent Application

Direct all correspondence to: <input type="checkbox"/>		Customer Number or Bar Code Label		OR <input checked="" type="checkbox"/>		Correspondence address below	
David B. Cochran, Esq. Name							
JONES DAY Address							
North Point, 901 Lakeside Avenue City				Ohio State		44114-1190 ZIP	
USA Country		(216) 586-3939 Telephone				(216) 579-0212 Fax	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.							
NAME OF SOLE OR FIRST INVENTOR:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Wen-Yen Given Name (first and middle [if any])				Chan Family Name or Surname			
[Signature] Inventor's Signature				May 4/04 Date			
Thornhill Residence City		Ontario State		CANADA Country		Canadian Citizenship	
295 Phillip Street Mailing Address							
Waterloo City		Ontario State		N2L 3W8 ZIP		CANADA Country	
NAME OF SECOND INVENTOR:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Xin Given Name (first and middle [if any])				Jin Family Name or Surname			
[Signature] Inventor's Signature				[Blank] Date			
Nepean Residence City		Ontario State		CANADA Country		Canadian Citizenship	
295 Phillip Street Mailing Address							
Waterloo City		Ontario State		N2L 3W8 ZIP		CANADA Country	
<input checked="" type="checkbox"/> Additional inventors are being named on the <u>1</u> supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.							

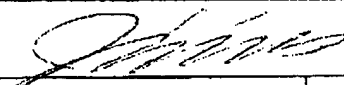


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DECLARATION — Utility or Design Patent Application

Direct all correspondence to: <input type="checkbox"/> Customer Number or Bar Code Label		OR <input checked="" type="checkbox"/> Correspondence address below	
Name David B. Cochran, Esq.			
Address JONES DAY North Point, 901 Lakeside Avenue			
City Cleveland		State Ohio	
ZIP 44114-1190			
Country USA		Telephone (216) 586-3939	
Fax (216) 579-0212			
<small>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.</small>			
NAME OF SOLE OR FIRST INVENTOR :		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any]) Wen-Yen		Family Name or Surname Chan	
Inventor's Signature		Date	
Residence: City Thornhill		State Ontario	
Country CANADA		Citizenship Canadian	
Mailing Address 295 Phillip Street			
City Waterloo		State Ontario	
ZIP N2L 3W8		Country CANADA	
NAME OF SECOND INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any]) Xin		Family Name or Surname Jin	
Inventor's Signature 		Date May 3, 03	
Residence: City Nepean		State Ontario	
Country CANADA		Citizenship Canadian	
Mailing Address 295 Phillip Street			
City Waterloo		State Ontario	
ZIP N2L 3W8		Country CANADA	
<input checked="" type="checkbox"/> Additional inventors are being named on the <u>1</u> supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.			

PTO/SB/02A (10-00)

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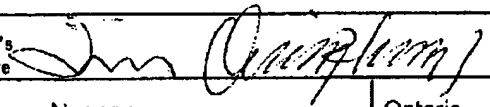
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DECLARATION**ADDITIONAL INVENTOR(S)**

Supplemental Sheet

Page ____ of ____

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name Qing Zhong		Family Name Jiao	
Inventor's Signature 		Date May 3rd	
Residence: City Nepean	State Ontario	Country Canada	Citizenship Canadian
Mailing Address 295 Phillip Street			
Mailing Address			
City Waterloo	State Ontario	ZIP N2L 3W8	Country CANADA
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
Mailing Address			
City	State	ZIP	Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
Mailing Address			
City	State	ZIP	Country

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO

I hereby appoint:



Practitioners associated with the Customer Number:

24325

OR



Practitioner(s) named below (if more than ten patent practitioners are to be named, then a customer number must be used):

Name	Registration Number

as attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignment documents attached to this form in accordance with 37 CFR 3.73(b).

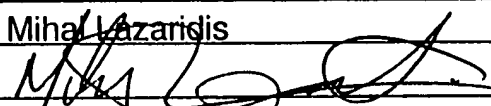
Assignee Name and Address:

Research In Motion Limited
295 Phillip Street
Waterloo, Ontario, Canada N2L 3W8

A copy of this form, together with a statement under 37 CFR 3.73(b) (Form PTO/SB/96 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(b) may be completed by one of the practitioners appointed in this form if the appointed practitioner is authorized to act on behalf of the assignee, and must identify the application in which this Power of Attorney is to be filed.

SIGNATURE of Assignee of Record

The individual whose signature and title is supplied below is authorized to act on behalf of the assignee

Name	Mihai Lazaridis	Date	JAN 16, 2004
Signature		Telephone	519-888-7465
Title	President & Co-CEO		

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Wen-Yen Chan, Xin Jin, Qing Zhong JiaoApplication No./Patent No.: 10/724,951 Filed/Issue Date: 12/01/2003Entitled: METHOD AND APPARATUS FOR OPTIMIZING TRANSMITTER POWER EFFICIENCY

Research In Motion Limited, a corporation

(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %
in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

June 15, 2004

Date

216-586-3939

Telephone number

Joseph M. Sauer

Typed or printed name

Signature

Attorney (Agent) for Assignee

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



WORLDWIDE ASSIGNMENT

WHEREAS, I/WE, (hereinafter referred to as the "ASSIGNOR(S)"):

Wen-Yen Chan, 38 Edgemoor Trail, Thornhill, Ontario L4J 6N6 Canada
Xin Jin, 508-1129 Meadowlands Drive, Nepean, Ontario K2E 6J6 Canada
Qing Zhong Jiao, 37 Oberon Street, Nepean, Ontario K2H 7X6 Canada

has/have invented certain new and useful improvements in an invention entitled

METHOD AND APPARATUS FOR OPTIMIZING TRANSMITTER POWER EFFICIENCY

for which an application for United States Letters Patent was filed on December 1, 2003, and as further identified by Docket No. 555255-012-652 and RIM Invention Disclosure No. PUS-0867; and

WHEREAS, **RESEARCH IN MOTION LIMITED**, (hereinafter referred to as the "ASSIGNEE"), a corporation organized under the laws of the Province of Ontario, CANADA, having a place of business at 295 Phillip Street, Waterloo, Ontario, CANADA, N2L 3W8, is desirous of acquiring the full and exclusive right, title and interest in and to said application inclusive of any and all priority rights derived therefrom and the inventions therein disclosed, and in and to all Letters Patent, both United States and foreign, to be granted for said inventions;

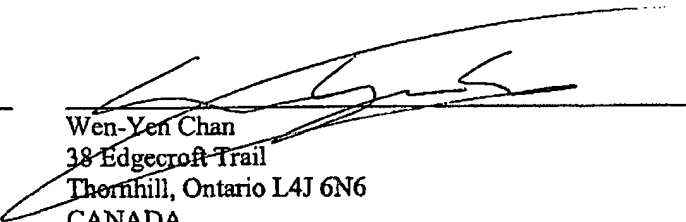
NOW, THEREFORE, for a valuable consideration, the receipt whereof is hereby acknowledged, I/WE, ASSIGNOR(S) does/do hereby confirm sale, assignment, transfer, and set over, and hereby sell, assign, transfer, and set over unto the ASSIGNEE, its successors and assigns, the full and exclusive right, title and interest in and to the aforesaid application for United States Letters Patent inclusive of any and all priority rights derived therefrom, and the inventions therein disclosed, and in and to all Letters Patent and issues thereof which may be granted upon said application and in and to all Letters Patent which may be issued upon any substitutes, divisions, or continuations of said application, and in and to any and all Letters Patent which may be granted for said inventions in any foreign country or countries; the same to be held and enjoyed by the ASSIGNEE for its own use and behoof, and for the use and behoof of its successors and assigns, to the full end of the term or terms for which said Letters Patent and reissues thereof may be granted as fully and entirely as the same would have been held and enjoyed by me (us) had this assignment and sale not been made;

AND I/WE, ASSIGNOR(S) hereby agrees/agree to execute, upon request, any and all further papers which may be necessary or desirable to enable the ASSIGNEE, its successors and assigns, to file and prosecute said application, and any and all substitutes, divisions, or continuations thereof, and any and all reissues of the Letters Patent granted upon said application, or upon any substitutes, divisions, or continuations thereof, and any and all applications for foreign Letters Patent on said inventions; and ASSIGNOR(S) further agrees/agree to execute any and all further papers which may be necessary or desirable to vest or perfect the title of ASSIGNEE, its successors and assigns, in and to said application and the inventions therein disclosed, and in and to any and all Letters Patent and reissues thereof, both United States and foreign, which may be granted upon said application, and any substitutes, divisions, or continuations thereof, and upon any foreign applications.

AND I/WE, ASSIGNOR(S) hereby authorizes/authorize and requests/request The Commissioner of Patents to issue each and every Letters Patent to be granted upon the aforesaid application for United States Letters Patent, and upon any and all substitutes, divisions, and continuations of said application, and each and every reissue of said Letters Patent, to the ASSIGNEE, its successors and assigns, as the assignee of the entire right, title and interest therein, in accordance with this assignment.

IN WITNESS WHEREOF, this assignment has been executed below by the undersigned:

Date: May 4 2004


Wen-Yen Chan
38 Edgecroft Trail
Thornhill, Ontario L4J 6N6
CANADA

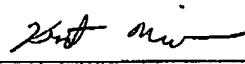
STATEMENT BY WITNESS

I, Kent Nickerson, whose full Post Office address is

386 Vogel Pl, Waterloo, ON N2L 5V8
(Address of Witness)

hereby declare that I was personally present and did see the above named person, personally known to me to be the person named in the assignment, duly sign and execute the same.

Date: 4 May 2004


(Signature of Witness)

IN WITNESS WHEREOF, this assignment has been executed below by the undersigned:

Date:

May 3, 04

Xin Jin

508-1129 Meadowlands Drive
Nepean, Ontario K2E 6J6
CANADA

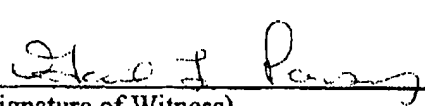
STATEMENT BY WITNESS

I, Gail L. Parsons, whose full Post Office address is

63 Harry Douglas Dr, Stillhouse, IN 465124
(Address of Witness)

hereby declare that I was personally present and did see the above named person, personally known to me to be the person named in the assignment, duly sign and execute the same.

Date:

May 3/04

(Signature of Witness)

IN WITNESS WHEREOF, this assignment has been executed below by the undersigned:

Date: May 3rd, 2004

Jim Cunningham
Qing Zhong Jiao
37 Oberon Street
Nepean, Ontario K2H 7X6
CANADA

STATEMENT BY WITNESS

I, Gail L. Parsons, whose full Post Office address is

63 Huron, Douglas, Stittsville, ON K2S 1Z4
(Address of Witness)

hereby declare that I was personally present and did see the above named person, personally known to me to be the person named in the assignment, duly sign and execute the same.

Date: May 3/04

Gail L. Parsons
(Signature of Witness)